IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones et al. : Group Art Unit: 3691

Serial No.: 10/680,010 : Examiner: Campen

Filed: October 7, 2003 : Attorney Docket No.: 006878.114601

For: METHODS, SYSTEMS AND :

SECURITIES FOR ASSURING A
COMPANY AN OPPORTUNITY TO

SELL STOCK AFTER A SPECIFIED TIME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(c)(2). In this regard, it is noted that this Information Disclosure Statement is being filed before the mailing of a final action, a notice of allowance or an action that otherwise closes prosecution of the application.

Of further note, no representation is intended that a complete search has been made by the applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted, GREENBERG TRAURIG, LLP

By: /Matthew B. Tropper/ Matthew B. Tropper Registration No. 37,457

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